

A Report on Guest lecture on Investor Investing Practices and Role of SEBI

Resource Person: **Dr. Sarika Lohana** –SEBI Emplaned Securities Market Trainer, Visiting Faculty Member, IIM (Kozhikode)

DATE: 21-11-2025

TIME: 10 AM to 1PM

VENUE: MBA Seminar Hall, Second Floor

PARTICIPANTS TYPE: Students of MBA II YEAR I SEM & I YEAR I SEM

NO OF PARTICIPANTS: 105

 **NALLA NARASIMHA REDDY EDUCATION SOCIETY'S
GROUP OF INSTITUTIONS-INTEGRATED CAMPUS
(UGC AUTONOMOUS INSTITUTION)**  

Resource Person 
Dr. Sarika Lohana
SEBI Emplaned Securities Market Trainer

School of Management Sciences
In Association with
Securities and Exchange Board of India (SEBI)
Organizing
A Guest Lecture on

Investor Investing Practices and Role of SEBI

Date: 21 st November, 2025	Time: 10:00 AM	Venue: Seminar Hall, MBA
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The School of Management Sciences, Nalla Narasimha Reddy Education Society's Group of Institutions (UGC Autonomous), in association with Securities and Exchange Board of India organized a Guest lecture on Investor Investing Practices and Role of SEBI.

The program commenced with an inaugural session in which Dr.T.Ravindra Reddy, Dean, School of Management Sciences, warmly welcomed the gathering and introduced the guest speaker to the students. The Dean emphasized the importance of financial literacy, responsible investing, and understanding regulatory frameworks for young management students.

Ms. Yamini student of MBA Second year formally introduced the guest speaker. She presented a brief yet impressive profile of Dr. Sarika Lohana, highlighting her expertise in securities markets, her association with SEBI, and her contributions as a trainer and market educator. This set a strong context for the lecture and motivated the participants.

Objectives of the Program:

- To create awareness about investment avenues available to individuals.
- To educate students on responsible and informed investing practices.
- To explain the regulatory role of SEBI in ensuring fairness, transparency, and efficiency in securities markets.
- To highlight investor rights and responsibilities in the financial ecosystem.
- To familiarize students with common risks, frauds, and preventive strategies in financial markets.

Dr. Sarika Lohana began the session by engaging participants with real-life examples from the securities market. She discussed the essential principles of investing and the critical role played by SEBI in protecting investor interests.

Summary of Learning:

The session enabled participants to gain a comprehensive understanding of the fundamentals of investment and the significance of early financial planning. They learned about various investment products, including equities, mutual funds, bonds, and market-linked securities, along with their features and relevance in building a diversified portfolio. The lecture also offered clear insights into SEBI's crucial role in regulating stock exchanges, monitoring intermediaries, and safeguarding investor interests. Students understood how SEBI ensures market transparency through strict guidelines, continuous surveillance, and effective enforcement actions. Additionally, the speaker highlighted important do's and don'ts for retail investors, emphasizing the need to avoid speculative decisions and stay alert to fraudulent schemes. Practical examples, case studies, and discussions on current market scenarios made the session highly engaging and informative for all participants.

Learning Outcomes:

At the end of the session, students were able to clearly understand the overall investment process and appreciate the importance of making informed financial decisions. They gained the ability to identify safe and regulated investment options aligned with various financial goals, enabling them to choose suitable avenues with greater confidence. The session also helped them recognize SEBI's regulatory framework and understand how it plays a vital role in ensuring investor protection and market integrity. Students learned to analyse common market risks and apply appropriate preventive measures while investing, thereby reducing the chances of financial loss. Overall, the session enhanced their confidence to participate responsibly and wisely in financial markets.

Valedictory Session:

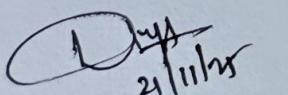
The program concluded with a valedictory session where the faculty expressed their appreciation towards the speaker for delivering a valuable and insightful lecture. The significance of integrating such industry-oriented sessions in management education was reiterated.

Vote of Thanks:

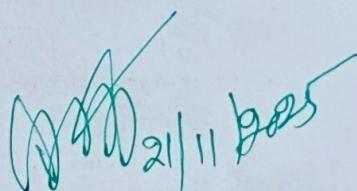
Ms.Sravanti student of MBA Second year, delivered the formal Vote of Thanks, expressing heartfelt gratitude to the resource person, Dr. Sarika Lohana, for sharing her valuable insights. She also extended her appreciation to the Dean, faculty members, and the organizing committee for their support and coordination. She thanked SEBI for its collaboration in making the session meaningful and acknowledged the enthusiastic participation of the students. She concluded by appreciating everyone's collective efforts in contributing to the overall success of the event.

Conclusion:

The guest lecture on "**Investor Investing Practices and the Role of SEBI**" was highly informative and insightful, providing students with valuable exposure to the practical aspects of investing and the regulatory framework governing financial markets. The session successfully enhanced students' understanding of responsible investing, investor protection, and the importance of regulatory institutions such as SEBI in maintaining transparency and integrity in the securities market. By bridging theoretical knowledge with real-world examples, the program strengthened the financial awareness and decision-making abilities of the participants. Overall, the lecture contributed significantly to the academic and professional development of management students and reinforced the relevance of such industry-oriented initiatives in management education.

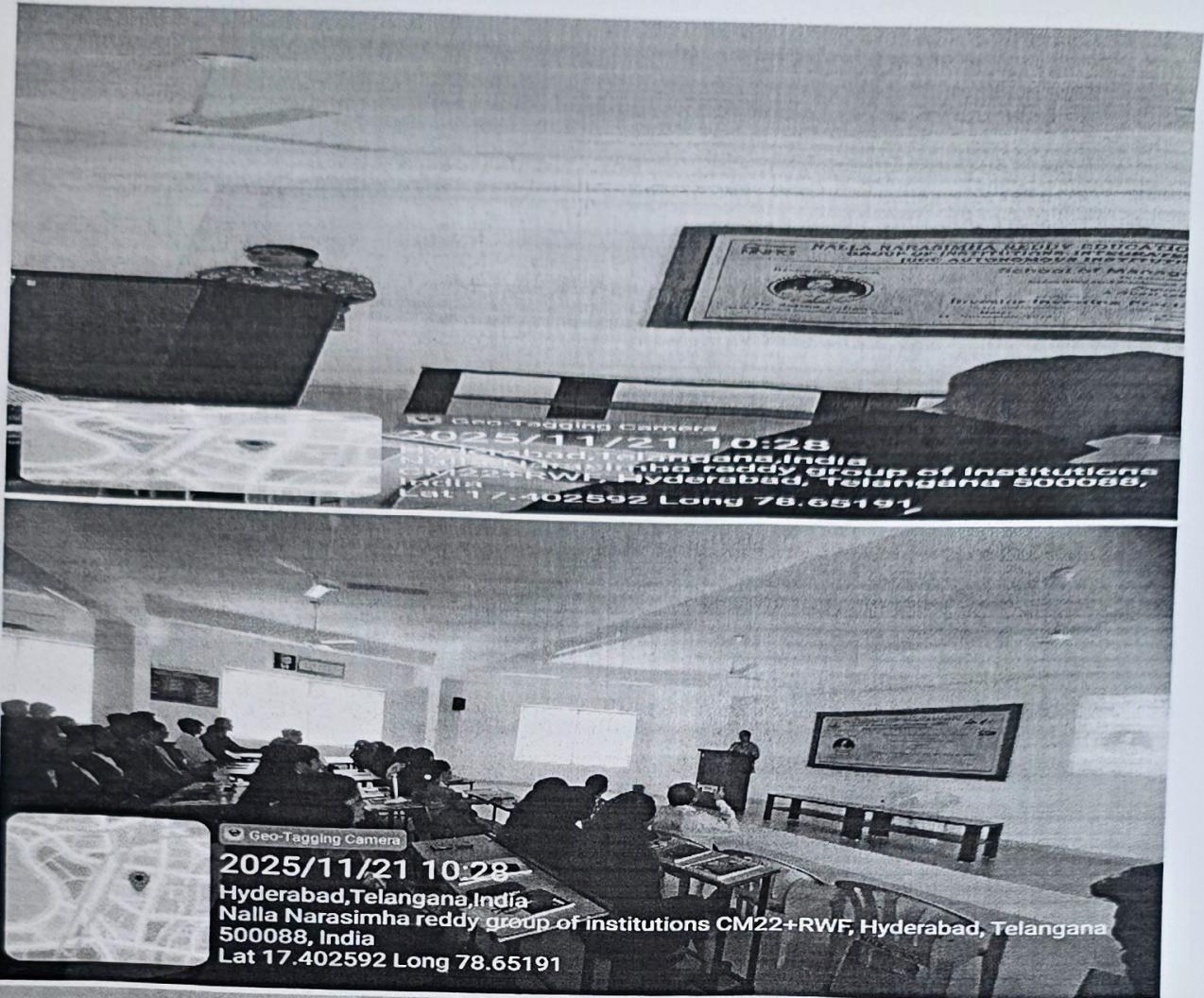


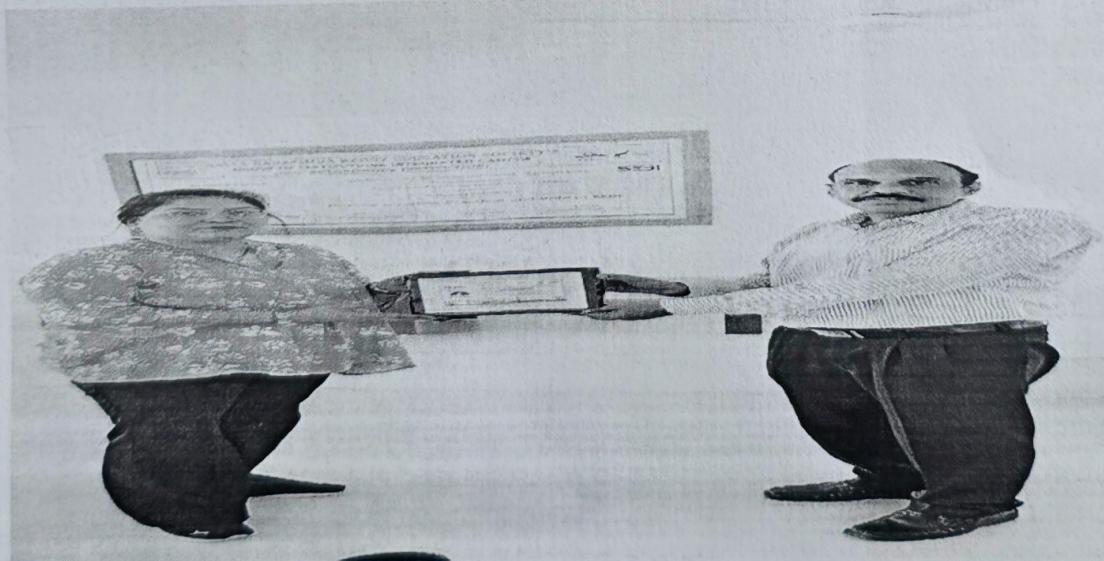
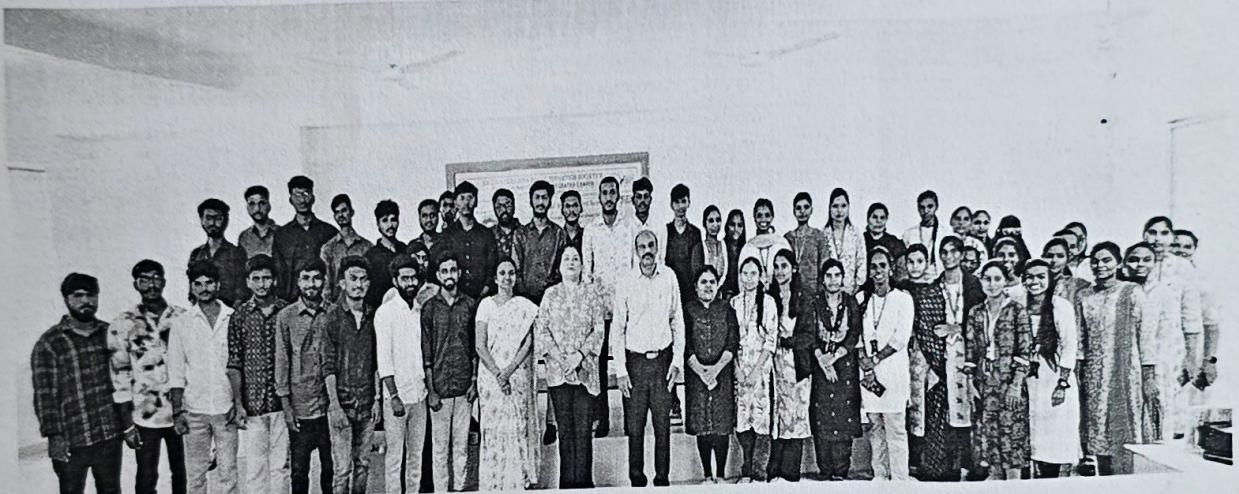
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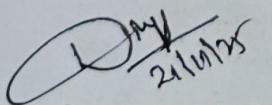


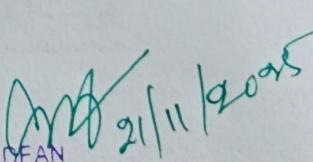
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